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### UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.: 2003\_1473A

First Named Inventor: Isao KOBAYASHI et al.

Title: METHOD AND APPARATUS OF RECORDING OPTICAL

INFORMATION

Express Mail Label No:

#### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Commissioner for Patents ADDRESS TO: P.O. Box 1450

Alexandria, VA 22313-1450

1. [X] Fee Transmittal Form

(Submit an original, and a duplicate for fee processing)

2. [] Small Entity Status is hereby asserted.

[Total Pages: 29]

3. [X] Specification (preferred arrangement set forth below)

- Descriptive title of the Invention

- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

[Total Sheets: 3]

[Total Pages : 3]

5. [X] Oath or Declaration

a.1. [] Newly executed (original or copy)

a.2. [X] Unexecuted

4. [X] Drawing(s) (35 USC 113)

[] Copy from a prior application(37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)

i. [] DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. [] Application Data Sheet (see 37 CFR 1.76)

7. [] CD-ROM or CD-R in duplicate, large table or computer

program (Appendix)

[] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. [] Computer Readable Form
- b. Specification Sequence Listing on:
  - i. [] CD-ROM or CD-R (2 copies); or
  - ii. [] Paper
- c. [] The paper and computer readable copies are identical

#### ACCOMPANYING APPLICATION PARTS

- [] Assignment Papers (cover sheet & document(s))
- [] 37 CFR 3.73(b) Statement (when there is an assignee)

[] Power of Attorney

11. [] English Translation Document (if applicable)

- 12. [X] Information Disclosure Statement (IDS)/PTO-1449 [X] Copies of IDS Citations
- 13. [] Preliminary Amendment
- 14. [X] Return Receipt Postcard (MPEP 503) (Should be specifically itemized
- 15. [X] Certified Copy of Priority Document(s)
  - (if foreign priority is claimed)

16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. [X] Other **CLAIM OF PRIORITY UNDER 35 USC 119** 

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or

in an Application Data Sheet: [] Continuation

[] Divisional

[] Continuation-in-part (CIP)

of prior application No.

Prior Application Information Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 🚯, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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October 16, 2003

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Isao KOBAYASHI et al.

Attn: APPLICATION BRANCH Serial No. NEW

Attorney Docket No. 2003\_1473A Filed October 16, 2003

METHOD AND APPARATUS OF RECORDING OPTICAL INFORMATION

## PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Σ

Attached hereto is a check in the amount of \$874.00 to cover Patent Office fees relating to filing the following attached papers:

owing attached papers.	\$ <u>770.00</u>
owing attached papers.  New application	\$
Assignment for Recordal	
Additional Claims Fee:	\$ <u>18.00</u> \$ <u>86.00</u>
Excess of Twenty Independent Multiple Dependent Fee	1 San use in the Accounting Divisi

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Isao KOBAYASHI et al.

Michael S. Huppert Registration No. 40,268 Attorney for Applicants

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October 16, 2003

[Check No. 58236